

PTO/SB/08B (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/796,830

Filing Date March 9, 2004

First Named Inventor Roger Buelow et al.

Art Unit

Examiner Name

Attorney Docket Number 2506

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s); publisher, city and/or country where published.	T ²
PR	3	2 pages entitled "Uniglo Backlighting" from http://www.poly-optical.com/uniglo.html webpage (when last viewed on 05/02/04) showing uniform extraction of light from a light guide - admitted as prior art.	
JMR	4	2 pages entitled "Design of Luxaura Light Guide" from http://www.luxaura.com/tech.shtml webpage (when last viewed on 05/02/04) showing emitting light in a radial swath - admitted as prior art.	

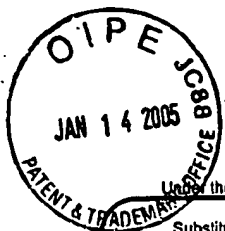
Examiner
SignatureDate
Considered

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
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Sheet 1 of 3

Complete if Known

Application Number	10/796,830
Filing Date	03/09/2004
First Named Inventor	Roger F. Buelow II
Art Unit	2874
Examiner Name	
Attorney Docket Number	2506

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 3,497,981	03-03-1970	G. H. Tyne	
	2	US- 3,535,018	10-20-1970	A. J. Vasilatos	
	3	US- 4,585,298	04-29-1986	Mori	
	4	US- 4,765,701	08-23-1988	Cheslak	
	5	US- 5,036,435	07-30-1991	Tokuda et al.	
	6	US- 5,222,795	06-29-1993	Hed	
	7	US- 5,857,761	01-12-1999	Abe et al.	
	8	US- 5,987,199	11-16-1999	Zarian et al.	
	9	US- 6,039,553	03-21-2000	Lundin et al.	
	10	US- 6,095,673	08-01-2000	Goto et al.	
	11	US- 6,169,836 B1	01-02-2001	Sugiyama et al.	
	12	US- 6,215,947 B1	04-10-2001	Abramowicz et al.	
	13	US- 6,278,827 B1	08-21-2001	Sugiyama et al.	
	14	US- 6,419,383 B1	07-16-2002	Lundin	
	15	US- 6,488,397 B1	12-03-2002	Masutani et al.	
	16	US- 6,563,993 B1	05-13-2003	Imamura et al.	
	17	US- 6,577,794 B1	06-10-2003	Currie et al.	
	18	US- 6,597,834 B1	07-22-2003	Hata	
	19	US- 6,637,924 B2	10-28-2003	Pelka et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
						

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SignatureDate
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Sheet 2

of 3

Attorney Docket Number	2506
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Complete if Known

Application Number	10/796.830
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Filing Date	03/09/2004
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First Named Inventor	Roger F. Buelow II
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Art Unit	2874
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Examiner Name

Attorney Docket Number	2506
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U. S. PATENT DOCUMENTS



FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

H. Kunk

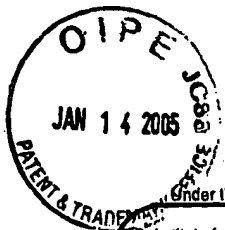
Date
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number		10/796,830
	Filing Date		03/09/2004
	First Named Inventor		Roger F. Buelow II
	Art Unit		2874
	Examiner Name		
Sheet 3	of 3	Attorney Docket Number 2506	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	21	Photograph of light pipe shown at the following two exhibitions:	
	21	(1) Defense Advanced Research Projects Agency (DARPA), attended by military and military contractors,	
	21	March 9-11, 2004 (DARPA)	
	21	(2) Light Fair, Las Vegas, Nevada, attended by the public, March 31 - April 2, 2004.	

**Examiner
Signature****Date****Considered**

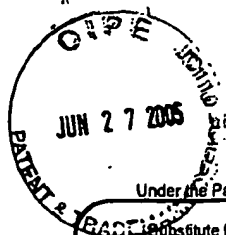
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		Application Number	10/796,830
		Filing Date	March 9, 2004
		First Named Inventor	Roger F. Buelow II
		Art Unit	2874
		Examiner Name	
Sheet 1	of 1	Attorney Docket Number	2506

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JR	1	US- 4,907,132	03-06-1990	Parker	
	2	US- 5,312,569	05-17-1994	Mezei	
	3	US- 5,312,570	05-17-1994	Halter	
	4	US- 5,499,912	03-12-1996	Mezei	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	† ⁴
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	3/4/6
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Sheet 1 of 1

Complete If Known

Application Number 10/798,830

Filing Date March 9, 2004

First Named Inventor Roger F. Buelow II

Art Unit

Examiner Name Rude

Attorney Docket Number 2508

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	(Atty. Docket # 2507) Specification and drawings for US Patent Application SN 10/797,383, filed 10-Mar-04,	
	1	for Side-Light Extraction by Light Pipe-Surface Alteration by Chris H. Jensen, John M. Davenport, Roger F. Buelow II, and Brian Doucet.	
	1	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.	
	2	(Atty. Docket # 2510) Specification and drawings for US Patent Application SN 10/797,761, filed 10-Mar-04,	
	2	for Light Pipe with Side Light Extraction by Roger F. Buelow II, Chris H. Jensen, and John M. Davenport.	
	2	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.	
	3	(Atty. Docket # 2568) Specification and drawings for US Patent Application SN 10/108,279, filed 18-Apr-05,	
	3	for Efficient Luminaire with Directional Side-Light Extraction by William J. Cassarly, Thomas L.R. Davenport, John M. Davenport, and Chris H. Jensen.	
	3	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.	

Examiner Signature	Date Considered 3/4/6
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